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U.S. APPLICATION NUMBER NO. 10/540,908	FIRST NAMED APPLICANT Yuanchao Li	ATTY. DOCKET NO. 13369701-0001-16
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35684  
BUTZEL LONG  
350 SOUTH MAIN STREET  
SUITE 300  
ANN ARBOR, MI 48104

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BUTZEL LONG**

JAN 17 2006

RESPONSE DUE:

DOCKET 1 3/18/2006 DOCKET 2 4/12/2006

INTERNATIONAL APPLICATION NO. PCT/CN03/00095				
<table border="1"> <tr> <th>IA FILING DATE</th> <th>PRIORITY DATE</th> </tr> <tr> <td>01/28/2003</td> <td>12/27/2002</td> </tr> </table>	IA FILING DATE	PRIORITY DATE	01/28/2003	12/27/2002
IA FILING DATE	PRIORITY DATE			
01/28/2003	12/27/2002			

CONFIRMATION NO. 3339

371 FORMALITIES LETTER

\*OC000000017837370\*

Date Mailed: 01/12/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/27/2005
- English Translation of the IA filed on 06/27/2005
- Copy of the International Search Report filed on 06/27/2005
- Copy of IPE Report filed on 06/27/2005
- Preliminary Amendments filed on 06/27/2005
- Oath or Declaration filed on 06/27/2005
- U.S. Basic National Fees filed on 06/27/2005
- Assignment filed on 06/27/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it
  - does not identify the citizenship of each inventor.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$<sup>65</sup>~~130~~ for a <sup>Small</sup>~~Large~~ Entity:

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- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

ANITA D JOHNSON

Telephone: (703) 308-9140 EXT 226

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/540,908	PCT/CN03/00095	13369701-0001

FORM PCT/DO/EO/905 (371 Formalities Notice)

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Doc Code:

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2008. OMB 0851-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). <b>FEE TRANSMITTAL for FY 2005</b>		<b>Complete if Known</b>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	10/540,908
		Filing Date	June 27, 2005
		First Named Inventor	Yuanchao LI, et al
		Examiner Name	Unknown
		Art Unit	1615
<b>TOTAL AMOUNT OF PAYMENT (\$)</b>		<b>\$65.00</b>	
Attorney Docket No.		133697-0016	

**METHOD OF PAYMENT (check all that apply)**

☐ Check    ☐ Credit Card    ☐ Money Order    ☐ None    ☐ Other (please identify): \_\_\_\_\_  
☒ Deposit    Deposit Account Number: 12-2136    Deposit Account Name: BUTZEL LONG

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below    ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or any underpayment of fee(s) under 37 CFR 1.16 and 1.17    ☒ Credit any overpayments

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
- 20 or HP =	x	\$25.00	= \$0.00

HP = highest number of total claims paid for, if greater than 20.

<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
- 3 or HP =	x	\$100.00	= \$0.00

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
- 100 =	0	0	(round up to a whole) x \$125.00	= \$0.00

**4. OTHER FEE(S)**

Non-English specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): **LATE FILING SURCHARGE (SMALL ENTITY)****\$65.00****SUBMITTED BY**

Signature		Registration No. (Attorney/Agent)	32,816	Telephone	734.995.3110
Name (Print/Type)	Michael S. Gzybowski			Date	March 8, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**RECEIVED  
CENTRAL FAX CENTER****APR 09 2007****Receipt is Acknowledged of:****TYPE OF PAPER: RESPONSE TO MISSING REQUIREMENTS and  
REQUEST FOR REFUND****CUSTOMER NO: 35684****APPLICANTS: Yuanchao LI et al.****APPLICATION NO.: 10/540,098****FILING DATE: June 27, 2005****EXAMINER: Unknown****GROUP UNIT: 1615****TITLE: TRIPTOLIDE DERIVATIVES AND THEIR USES**

Certificate of Mailing by "First Class Mail" (1 pg)  
Request for Refund Assertion of Small Entity Status (2 pgs)  
Response to Notification of Missing Requirements Under 35 USC  
371 in the U.S. Designated/Elected Office-Submission of Executive  
Declaration (2 pgs)  
Copy of Notification of Missing Requirements (2 pgs)  
Fee Transmittal (1 pg)

**DATE MAILED: March 8, 2006**  
**Our Ref. 133697-016****Receipt is Acknowledged of:****TYPE OF PAPER: RESPONSE TO MISSING REQUIREMENTS and  
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Fee Transmittal (1 pg)

**DATE MAILED: March 8, 2006**  
**Our Ref. 133697-016**

EVALUATE R